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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/749,284
		Filing Date	December 26, 2000
		First Named Inventor	Gene R. Anderson
		Art Unit	2877
		Examiner Name	Valentin, Juan D.
Total Number of Pages in This Submission		Attorney Docket Number	1613370-0005

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <div style="border: 1px solid black; padding: 2px; display: inline-block;">Remarks</div>	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard Copies of 29 Prior Art References

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	James M. Wu, Reg. No. 45,241 White & Case LLP
Signature	
Date	August 12, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Gene R. Anderson, et al.

Serial No.: 09/749,284

Group Art Unit: 2877

Filed: December 26, 2000

Examiner: Valentin, Juan D.

For: Optical Transmitter, Receiver or
Transceiver Module

White & Case Docket No.: 1613370-0005

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Christina Ishihara

Name

August 12, 2003

Date

INFORMATION DISCLOSURE STATEMENT

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Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent Office of all references coming to the attention of Applicants or attorneys or agents for Applicants which are or may be material to the examination of the subject application, Attorneys for Applicants hereby invite the Examiner's attention to the reference(s) listed on the accompanying PTO Form SB/08A.

Identification of references listed on the attached PTO form is not to be construed as an admission of Applicants or attorneys for Applicants that such references are material or available as prior art against the subject application. The right is reserved to antedate any item in accordance with standard procedure.

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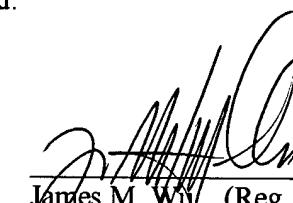
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This submission is understood to complement the results of the Examiner's own independent search. The submission should not be construed as a representation that a search was made, or that the cited items are inclusive of all the relevant and material citations that may be available publicly.

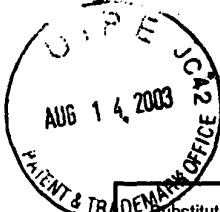
Copies of the cited references are enclosed. Applicants respectfully request that the Examiner review the attached references and that they be made of record in the file history of the above-captioned application.

In connection with the filing fee of this Information Disclosure Statement, a check in the amount of \$180.00 is enclosed.

Dated: August 12, 2003



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PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO			Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Application Number	09/749,284
			Filing Date	December 26, 2000
			First Named Inventor	Gene R. Anderson
			Group Art Unit	2877
(use as many sheets as necessary)			Examiner Name	Valentin, Juan D.
Sheet	1	of	2	Attorney Docket Number
1613370-0005				

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US - 4,563,725	01-07-1986	Kirby	
		US - 4,730,198	03-08-1988	Brown et al.	
		US - 5,054,879	10-08-1991	Brown	
		US - 5,214,444	05-25-1993	Kerr et al.	
		US - 5,226,052	07-06-1993	Tanaka et al.	
		US - 5,535,296	07-09-1996	Uchida	
		US - 5,539,848	07-23-1996	Galloway	
		US - 5,790,733	08-04-1998	Smith et al.	
		US - 5,796,714	08-18-1998	Chino et al.	
		US - 5,879,173	03-09-1999	Poplawski et al.	
		US - 5,907,571	05-25-1999	Ogino et al.	
		US - 6,040,624	03-21-2000	Chambers et al.	TC
		US - 6,235,141	05-22-2001	Feldman et al.	RE
		US - 6,250,820	06-26-2001	Melchior et al.	RE

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FOREIGN PATENT DOCUMENTS					
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		Country Code ³			
		Number ⁴	Kind Code ⁵ (if known)		
		JP 56 111822	09-03-1981	Oki Densen	
		EP 0 440 336 A2	08-07-1991	Amphenol Corp.	
		DE 41 23 370 A1	01-21-1993	Robock	
		WO 97 25638 A3	07-17-1997	Siemens	
		WO 97 25641	07-17-1997	Siemens AG	
		EP 0 790 678 A2	08-20-1997	Alcatel	
		JP 10 126002	08-31-1998	Matsushita	
		EP 0 899 592	03-03-1999	Bosch, Robert	
		EP 0 607 700 B1	08-25-1999	Sharp	
		WO 00 13051 A1	03-09-2000	Digital Optics	
		WO 00 46623	08-10-2000	Siemens Aktien.	
		EP 1 028 341 A2	08-16-2000	Hewlett-Packard	
		EP 0 974 856 A2	11-02-2000	Honeywell	
		WO 00 73833 A1	12-07-2000	E20 Commun.	



OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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